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Customer Number

Patent  
Case No.: 58391US002**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: FAN, XUDONG

Application No.: 10/685049

Group Art Unit: 2811

Filed: October 14, 2003

Examiner: Unknown

Title: HYBRID SPHERE-WAVEGUIDE RESONATORS

**INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
8/30/04	
Date	Signed by: Gregg H. Rosenblatt

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

8/30/04

Date

By:

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SEP 02 2004

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets if necessary)

Page 1 of 1

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Xudong Fan

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Unknown

Attorney Case Number

58391US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
	A1	US- 2002/0018611 A1	02-14-2002	Maleki et al	
	A2	US- 6,507,684 B2	01-14-2003	Tapalian et al	
	A3	US- 6,583,399 B1	06-24-2003	Hunziker et al	
	A4	US- 6,657,731 B2	12-02-2003	Tapalian et al	
	A5	US- 2004/0023396 A1	02-05-2004	Boyd et al	
	A6	US-			
	A7	US-			
	A8	US-			

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1						
	B2						

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	KNIGHT, J.C., et al; "Mapping Whispering-Gallery Modes in Microspheres with a Near-Field Probe", <i>Optics Letters</i> (Jul. 15, 1995); Vol. 20, No. 14; pp. 1515-1517
	C2	KAKARANTZAS, G., et al; "Miniature All-Fiber Devices Based on CO <sub>2</sub> Laser Microstructuring of Tapered Fibers", <i>Optics Letters</i> (Aug. 1, 2001); Vol. 26, No. 15; pp. 1137-1139
	C3	
	C4	

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.